

TSPC Authorities Subcommittee Report on the Florida NACO Funnel
November 3, 2010

Participants List:

Florida International University
Florida Southern College, Lakeland
Florida State University
Jacksonville Public Library
Ringling College of Art + Design, Sarasota
University of Florida, Coordinator
University of North Florida
University of South Florida

The Florida NACO Funnel is a group of libraries in Florida that have joined together to contribute authority records to the Library of Congress (LC) National Authority File (NAF) as members of NACO. NACO is the name authority component of LC's Program for Cooperative Cataloging (PCC). The program operates under the leadership and guidance of the PCC. It provides a great opportunity to contribute authority records to the LC/NAF.

One of the benefits of joining the PCC and NACO listed on the website is to "reduce the cost of cataloging for everyone" through the cooperative efforts of many catalogers. This is a goal for all libraries. Libraries may join NACO at the institution level or as part of a funnel. On the institution level libraries are required to meet a yearly quota based on size and the lone institution must be responsible for coordinating the program as an active PCC participant. As members of a funnel each institution's contributions are counted as part of the required quota. This reduces the burden of any one institution and provides a support system for libraries of all types and sizes.

Participation in the Florida NACO Funnel promotes cooperative authority control. The creation of LC authority records, either name (NAR) or subject (SAR) makes the controlled form of the heading available for everyone to use, whereas the creation of a local authority record only benefits the local institution. In addition, the responsibility for maintaining local authority files is local, while the maintenance of LC authority records is handled cooperatively through NACO and SACO. So aside from providing the preferred heading for a controlled access point, the amount of work needed to maintain local authority files is reduced for each of the 11 institutions in the SUL.

Funnel participation provides a workflow for institutions in the SUL to contribute names, series and uniform title authority records to the LC/NAF under the leadership and guidance of the NACO funnel coordinator. As coordinator, I provide training and review all name and series authority records before institutions contribute them to the LC/NAF. Funnel members who were already independent NACO contributors prior to joining the funnel submit authority records to the LC/NAF without review. Funnel participants are

required to adhere to NACO parameters which allow for more cataloging consistency across the SUL.

I began to investigate interest in joining a NACO funnel in the SUL in April 2003 at the encouragement of Martha Hruska who was at that time head of Technical Services at the University of Florida (UF) and Chair of the SUL Technical Services Planning Committee. Initially, the Florida NACO Funnel Project generated strong interest from four of the 11 SULs. Unfortunately, the timing was off due to planning for the migration to a new library management system, ExLibris Aleph 500. As libraries anticipated the work load involved in implementing a new system, they were somewhat reluctant to make a commitment to add additional training, etc. This was especially true for one library in the phase I migration group. Other reasons cited in the SUL for not committing to join a NACO funnel in 2003 were: lack or change in cataloging personnel, lack of administrative support and budget.

Five years later in April 2008, I received an inquiry from the Interim Director of the Roux Library at Florida Southern College in Lakeland. He wanted to verify my status as a NACO regional trainer and wanted to know if there were any opportunities for librarians at small Florida colleges to become trained, perhaps as part of a funnel project.

Shortly after communicating with Florida Southern College, the Tampa Bay Library Consortium informed me of their interest in hosting NACO training, for member libraries as well as for other libraries that might be interested. The timing was never more perfect to start looking at interest in joining a NACO funnel in Florida not limited to SUL participation only.

After many, many emails and phone calls to the Library of Congress and the Tampa Bay Library Consortium I began to plan the first NACO training session for the funnel which took place on October 22-24, 2008. The initial trainees for Florida NACO Funnel membership included: the University of South Florida (7 trainees), Florida Southern College, Lakeland (1), Ringling College, Sarasota (1 trainee). Southeastern College in Lakeland (1 trainee) received NACO training but submits NARs under the American Theological Library Association (ATLA) Funnel. The University of North Florida joined the funnel but did not need training.

All members of the Funnel continue to be active. Funnel statistics are provided on the PCC's website under "Funnel Project Summaries" at: <http://www.loc.gov/catdir/pcc/stats/stats.html>, or by searching each institution's MARC code for the annual and midyear statistics. However, since the University of Florida, University of North Florida, Florida International University and Florida State University were already independent contributors to the LC/NAF prior to joining the Funnel those statistics are not included in the Florida Project summary on the website.

Florida NACO Funnel Statistics (ALL)

2009

| | |
|--|--------------|
| New & changed names (personal and corporate) | 2,858 |
| New & changed series | 386 |
| Total | 3,244 |

2010 (midyear)

| | |
|--|--------------|
| New & changed names (personal and corporate) | 1,266 |
| New & changed series | 146 |
| Total | 1,412 |

Training since October 2008:

Names

Jacksonville Public Library July 26-30, 2010 (4 trainees); UNF (1)

Series

FIU June 11, 2010 (3 trainees)

Upcoming training:

Series

January 26-28, 2011

Florida State University

University of North Florida

Submitted by Priscilla Williams, Florida NACO Funnel Coordinator

Contact information:

Priscilla Williams

Head, Authorities and Metadata Quality Unit

University of Florida

300 Smathers Library

P. O. Box 117004

Gainesville, FL 32611

352-273-2732

352-392-7365 fax

priwill@uflib.ufl.edu