LC Series Decision Meeting at FCLA
Florida Center for Library Automation
Friday, August 18, 2006
10:00 a.m.-3:30 p.m.

Nancy Williams, Priscilla Williams, Gerald Langford, Betsy Simpson and Haiyun Cao from UF along with Linda Smith, Verna Urbanski and Angela Randtke from UNF met with Mary Ann O'Daniel, Daniel Cromwell and Charles McElroy (FCLA) to brainstorm possible strategies that could be used in the SUL to deal with LC's decision to cease creating controlled vocabulary for series.

The agenda for the meeting was based on 7 questions submitted by Nancy and a suggestion for a topic from Linda. Linda's suggestion is agenda item #8. Nancy thought that brainstorming about these questions maybe with some experimenting later on would be preparation for identifying appropriate agenda items for the November 2-3 Authorities Workshop at UCF.

1. Where are we/PCC with handling series authorities?
 PCC Proposal: http://www.loc.gov/acq/conser/SeriesProposal.pdf
(The PCC had asked for comments on this proposal before September 8)
Discussion: The PCC will continue to trace series through NACO. The PCC is currently circulating a proposal to give the option of always coding a series statement in a 490 field with controlled access for the series provided in the 8xx. The proposal does not deal with the issue of how the PCC intends to fill the gap created by LC's decision. The proposal focuses on the specific benefits gained through a better use of the "control headings" feature in OCLC Connexion.
Other questions:
Will PCC simplify rules for series authority record creation?
Will PCC libraries start contributing more series authority records?

Nancy did an analysis of the 0622-0626 UF NOTIS weekly updates for series. This included heading changes as well as other modifications to the authority records. What she was attempting to assess was the types of series updates right before LC implemented its' pared down series control and after. As expected, numbered series tend to represent 75%+ of updated series. What is unknown is what percentage of numbered series is in the total LC authority file. After June 1, LC seemed to continue working on author/title heading changes involving author dates. DLC-S also seemed to continue changing series treatment into periodical treatment for numbered series they preferred to handle as periodicals. The majority of non-LC contributions tended to be additions of cross references (430s and 410 |t) and 530s. NACO libraries seemed to favor the significant changes and were emphasizing numbered series. The question remains whether NACO can pick up the slack from the loss of LC's contributions. Nancy did point out that in her review of the CORs from the backlog update work, LC did have a lot of esoteric and obscure language series authorities.

Also pointed out for the future name authority load processing is the percentage of UF heading changes against the LCProd changes. UF heading changes seldom go beyond 10-15% of the total of LC Prod series changes. So 3-4 UF series heading changes need to be compared to the 40-50 UF name heading changes.

2. Do we want to consider/propose guidelines within the SUL?
Discussion: Would the SUL want to consider doing something to cover numbered series? What are other libraries planning on doing?
- Have other libraries considered dumping 490s into the browse index?
- Is Gary Strawn's program to convert not-traced series to traced series an option?
  http://www.library.northwestern.edu/public/ALA/490Resolution.doc
  If we want to choose the option of converting non-traced series to traced series, we could use GenLoad to flip 490 0s to 440s as we load records.

3. Could reports generated assist in monitoring new bibs series treatment; e.g. combining New titles with numbered series? Another possible report: unauthorized series headings.
Discussion: As we began to talk about generating reports in Aleph, Daniel noted that inconsistencies in headings will be an important issue in Endeca and reminded us to be concerned about the new systems on the horizon as we brainstorm.

4. What possibilities exist for us to have FCLA generate some reports
that get mounted on the web for individual libraries, such as the report Jason Fleming (UF) did for several UF defined parameters? Dan did that some time ago for the duplicated 4xxs.
Discussion: Would it be possible for FCLA to run a report for a listing of series headings for each institution's database that don't have an authority record? Aleph Service auth-03. Mary Ann responded that the 490s would need to be indexed first if they're to be included in the report.
Could we get a report of all numbered series?
Library can run ret-01 to identify; resource-intensive; run in Rept region only; will need some thought.

Mary Ann emphasized that the SUL needs to let FCLA know the following:
- What reports would the SUL like to have? Both in bibs and authority.

Could we have generated reports of 690s, etc. identifying things in the local file for which we now have established NACO headings, different series treatments, etc?
In local authorities – xxx10? Library can run ret-01 in Report to identify

Daniel informed us that we can already do some of the reports requested in question #4. He suggested that maybe training to do these reports is in order but agreed that reports that pertain to all SULS which could be run centrally could be the responsibility of FCLA. He said that FCLA could possibly help the SUL standardize some of their reports. He mentioned a malfunction with the "delete unlinked headings" that has rendered it unusable due to inappropriate deleting. A service pack that corrects the

problem was supposed to be available in August. A good suggestion would be to ask FCLA staff to explain what kinds of reports are pertinent to cataloging for each individual institution:

- It would help to have each institution share with the others: which reports it is running, what it does with each report, and how the report is run
- To identify the universe of the data for which a report is run – review source of report in XML

5. Where is Donna with the capability to report heading changes needed for bibliographic record correction on series statements?

Discussion:

- Reports involving the update and delete authorities in weekly LC updates that apply to each individual institution
- Reports that would allow us to match on our own local authority file against LCA10 by running weekly updates through p_manage_36 against each local xxx10
- Report of the headings in the weekly report that have been overlayed to identify those involving each local file

Mary Ann can give Donna a list with system numbers of the modified records in LCA10. Donna can run it against each institution's headings index. This would produce a report with the LCA10 system number, 010 number, and eventually the associated bib records for each institution.

Mary Ann will start to load names in October and these reports need to be available before the names are loaded.

Linda asked about the loading of uniform titles. Have we handled them yet?
Yes, if in a 130.
Uniform titles were loaded with the series entered under title. Name/title series will be loaded with the names.
What about MeSH? Mary Ann says that the MeSH 2004 file is under 20,000, the MeSH 2005 file is about 36,000. Daniel offered assistance with MeSH loads.

6. Would we want to pursue the possibility of making 490 0 a Browse index change for UF Test to see what kind of maintenance would be needed to change numbered series to traced--440 and correct |v and initial article problem?
Discussion: We could add 490 0s to the browse index now without much problem if it's added to existing index. (Adding a new index requires dropping an existing browse index.). However, because of LC's decision, over time the index would get larger and larger, BUT all series would be in the Series index.

If a change was made to index the 490 in a browse search, only newly loaded titles would fit that parameter until reindexing was done for a library's file.

7. What comments have come from Public Service on their series needs?

Denise Bennett (UF) and other science librarians have indicated that numbered series are often important access points and that other citations for science titles refer to the series title and series vol. number rather than to the individual vol. title.

8. Consider allowing SUL libraries to add series authorities to LCA10 with appropriate ticklers (? NACO....) so that series we create can be shared and PERHAPS reviewed and passed on for NACO submission.
- Allow SUL libraries to add series to LCA10
- UNF is interested in working with a series NACO-trained librarian at another institution who would be interested in taking the fairly complete series authorities that we do for local use, reviewing/correcting them as the larger institution finds useful, i.e. selectively, and passing them on for NACO use. We can't plan now to go through full NACO training until our staffing situation settles down.

We should explore the dangers, etc. of letting too many editors into LCA10. Maybe if local libraries could place authorities into LCA19 and then have them reviewed and copied into LCA10. That would activate the link, allow a second review of the authority, and maybe route some to NACO contribution status. However, I have a sneaky feeling that authorization levels may not be that refined. Daniel said that staff can be authorized to work in LCA19 while not having that ability in LCA10. He emphasized the need to restrict edit access to LCA10 in order to preserve its integrity as the LC authority resource file.

Wrap up, etc.:
- "Delete unlinked Headings" (Service pack fix will be applied in August) The fix has been applied to Test but not to Report and Production.
- Donna's reports will begin with a preliminary version
- "Names" will start getting loaded in October
- Handling CORs and unblocking
  FCLA can remove the normalized CORs and unblocking using Angela's programs and macros
- Mary Ann will query the List for volunteers for post-names cleanup
- Mary Ann will try to run one "names" file before her vacation in September
- Concern about impact on indexing queue of loading names files which are so large
- Mary Ann was asked about progress on being able to do global updates of bib headings from authority records. She needs to talk to programmers about setup. (We must make sure to take care of ambiguous headings before setting UPD to "Y.") We are hoping to use these features to correct large files of names of name/subject headings where a death date has been added to a personal name heading.

Miscellaneous on reports:
- XML output from Aleph reports can be placed in to Excel
- Plan on your jobs in "report region" finishing by 7 p.m.

- To view a large job, you must move it to your computer, which can freeze it

Discussion: possible agenda items for the November 2-3 authorities meeting at UCF:
- What kind of reports are you generating?
- Sharing programs at the November meeting:
  -Angela's programs and macros
  -ALEPH basic functions
  -Problem solving
  -Sharing session for libraries
- Series
- Addition of death dates to name headings
- Demo for ALEPH version 18

The meeting adjourned at 3:30 p.m.

Submitted by Priscilla Williams, Co-chair
Authorities Subcommittee
October 9, 2006